

# IDEAL THEORY AND PRÜFER DOMAINS

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## LECTURE 0: LOCALIZATION

**Localization of Rings.** Let  $R$  be a commutative ring with identity, and let  $S$  be a submonoid of  $(R \setminus \{0\}, \cdot)$ . Now one can define the following relation on  $R \times S$ :  $(r_1, s_1) \sim (r_2, s_2)$  for  $(r_1, s_1), (r_2, s_2) \in R \times S$  provided that  $(r_1 s_2 - r_2 s_1)s = 0$  for some  $s \in S$ . It is not hard to check that  $\sim$  is indeed an equivalence relation on  $R \times S$ . We let  $S^{-1}R$  denote the set of equivalence classes of  $\sim$  and, for  $r \in R$  and  $s \in S$ , we let  $r/s$  denote the equivalence class of  $(r, s)$ . Motivated by the standard addition and multiplication of rational numbers, we can now define for  $r_1/s_1$  and  $r_2/s_2$  in  $S^{-1}R$  the following operations:

$$\frac{r_1}{s_1} + \frac{r_2}{s_2} := \frac{r_1 s_2 + r_2 s_1}{s_1 s_2} \quad \text{and} \quad \frac{r_1}{s_1} \cdot \frac{r_2}{s_2} := \frac{r_1 r_2}{s_1 s_2}.$$

It is routine to verify that both operations are well defined and that  $(S^{-1}R, +, \cdot)$  is a commutative ring with identity  $1/1$ .

**Proposition 1.**  $(S^{-1}R, +, \cdot)$  is a commutative ring with identity.

The ring  $S^{-1}R$  is called the *localization* of  $R$  at  $S$ . We can easily see that the map  $\pi: R \rightarrow S^{-1}R$  defined by  $\pi(r) = r/1$  satisfies the properties in the following proposition.

**Proposition 2.** Let  $R$  be a commutative ring with identity, and let  $S$  be a submonoid of  $(R \setminus \{0\}, \cdot)$ . Then the following statements hold.

- (1) The map  $\pi: R \rightarrow S^{-1}R$  is a ring homomorphism satisfying that  $\pi(s)$  is a unit in  $S^{-1}R$  for every  $s \in S$ . In addition,  $\pi$  is injective if and only if  $S$  contains no zero-divisors of  $R$ .
- (2) If  $\varphi: R \rightarrow T$  is a ring homomorphism such that  $\varphi(s)$  is a unit in  $T$  for every  $s \in S$ , then there exists a unique ring homomorphism  $\theta: S^{-1}R \rightarrow T$  such that  $\varphi = \theta \circ \pi$ .

*Proof.* (1) One can readily see that  $\pi$  is a ring homomorphism. For every  $s \in S$ , it is clear that  $1/s \in S^{-1}R$  and, therefore,  $\pi(s) = s/1$  is a unit in  $S^{-1}R$ . If  $s \in S$  is a zero-divisor in  $R$ , then taking  $r \in R \setminus \{0\}$  with  $sr = 0$ , we can see that  $\pi(r) = 0$  and so  $\pi$  is not injective. Conversely, if  $\pi(r) = 0$  for some  $r \in R \setminus \{0\}$ , then  $r/1 = 0/1$  and so there is an  $s \in S$  such that  $sr = 0$ .

(2) For  $\varphi$  as in (2), define  $\theta: S^{-1}R \rightarrow T$  by  $\theta(r/s) = \varphi(r)\varphi(s)^{-1}$ . Since  $\varphi(s) \in T^\times$  for every  $s \in S$ , the element  $\varphi(r)\varphi(s)^{-1}$  belongs to  $T$ , and it is easy to check that  $\theta$  is a well-defined ring homomorphism. Since  $\theta(\pi(r)) = \theta(r/1) = \varphi(r)$ , the equality  $\theta \circ \pi = \varphi$  holds. Finally, for any ring homomorphism  $\theta': S^{-1}R \rightarrow T$  with  $\varphi = \theta' \circ \pi$ , we see that  $\theta'(r/s) = \theta'(r/1)\theta'(1/s) = \theta'(\pi(r))\theta'(\pi(s))^{-1} = \varphi(r)\varphi(s)^{-1} = \theta(r/s)$  for all  $r/s \in S^{-1}R$ . Hence  $\theta' = \theta$ , and the uniqueness follows.  $\square$

For an ideal  $I$  of  $R$ , the ideal  $S^{-1}R\pi(I)$  of  $S^{-1}R$  is called the *extension* of  $I$  by  $\pi$  and is denoted by  $S^{-1}I$ . Observe that every element of  $S^{-1}I$  can be written as  $a/s$  for some  $a \in I$  and  $s \in S$ .

**Proposition 3.** *Let  $R$  be a commutative ring with identity, and let  $S$  be a submonoid of  $(R \setminus \{0\}, \cdot)$ . Then the following statements hold.*

- (1) *For any ideal  $J$  of  $S^{-1}R$  the equality  $S^{-1}\pi^{-1}(J) = J$  holds. In particular, every ideal of  $S^{-1}R$  is the extension of an ideal in  $R$ .*
- (2) *For an ideal  $I$  of  $R$ , the equality  $S^{-1}I = S^{-1}R$  holds if and only if  $I \cap S \neq \emptyset$ .*
- (3) *The assignment  $I \mapsto S^{-1}I$  induces a bijection between the set of prime ideals of  $R$  disjoint from  $S$  and the set of prime ideals of  $S^{-1}R$ .*

*Proof.* (1) It suffices to show that  $J$  is contained in the ideal  $J' := S^{-1}\pi^{-1}(J)$ . Take  $r/s \in J$ . As  $r/1 = (s/1)(r/s) \in J$ , it follows that  $r \in \pi^{-1}(J)$ , and so  $r/1 \in S^{-1}\pi^{-1}(J)$ . Since  $J'$  is an ideal of  $S^{-1}R$ , we see that  $r/s = (1/s)(r/1) \in J'$ . Hence  $J' = J$ . The second statement is an immediate consequence of the first one.

(2) If  $S^{-1}I = S^{-1}R$ , then  $a/s = 1/1$  for some  $a \in I$  and  $s \in S$ . So we can take  $s' \in S$  such that  $(a - s)s' = 0$ . This means that  $ss' = as' \in I$ , whence  $I \cap S = \emptyset$ . Conversely, assume that  $I \cap S \neq \emptyset$  and take  $a \in I \cap S$ . Then for all  $r/s \in S^{-1}R$ , we see that  $ra \in I$  while  $sa \in S$ , which implies that  $r/s = (ra)/(sa) \in S^{-1}I$ . Thus,  $S^{-1}I = S^{-1}R$ .

(3) Let  $\mathcal{S}$  be the set of prime ideals in  $R$  that are disjoint from  $S$ , and let  $\mathcal{J}$  be the set of prime ideals in  $S^{-1}R$ . Let  $e: \mathcal{S} \rightarrow \mathcal{J}$  and  $c: \mathcal{J} \rightarrow \mathcal{S}$  be the maps given by the assignments  $I \mapsto S^{-1}I$  and  $J \mapsto \pi^{-1}(J)$ , respectively. Since homomorphic inverse images of prime ideals are prime ideals,  $c$  is well defined. To check that  $e$  is also well defined, take  $P \in \mathcal{S}$  and let us verify that  $S^{-1}P$  is a prime ideal. Take  $r_1, r_2 \in R$  and  $s_1, s_2 \in S$  such that  $(r_1/s_1)(r_2/s_2) \in S^{-1}P$ . Then there are elements  $a \in P$  and  $s, s' \in S$  such that  $(r_1r_2s - as_1s_2)s' = 0$ , which implies that  $r_1r_2ss' \in P$ . As  $P$  is prime and disjoint from  $S$ , we obtain that either  $r_1 \in P$  or  $r_2 \in P$ , from which we deduce that either  $r_1/s_1 \in S^{-1}P$  or  $r_2/s_2 \in S^{-1}P$ . Hence  $S^{-1}P$  is a prime ideal, and so the map  $e$  is well defined. Part (1) guarantees that  $e \circ c$  is the identity of  $\mathcal{J}$ . Proving that  $c \circ e$  is the identity of  $\mathcal{S}$  amounts to arguing that  $c(e(P)) \subseteq P$  for every  $P \in \mathcal{S}$ . To do so, take  $a_3/s_3 \in e(P) = S^{-1}P$  for  $a_3 \in P$  and  $s_3 \in S$ . If  $r \in \pi^{-1}(a_3/s_3)$ , then  $r/1 = a_3/s_3$  and there is an  $s'' \in S$  with  $(rs_3 - a_3)s'' = 0$ . This implies that  $rs_3 \in P$ , from which we deduce that  $r \in P$ . Hence  $c(e(P)) \subseteq P$ , as desired. Thus,  $c \circ e$  is the identity of  $\mathcal{S}$ , which completes the proof.  $\square$

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